

Client: _____

Client will be: (check all that apply) Proposed Insured Policy Owner

Submitted by

Agency Name/Personnel: _____

LFD Wholesaler: _____ Date: _____

1. Client has significant, legitimate interests in the United States as follows: (check all that apply)
 - U.S. Residence
 - Other significant U.S. property interests
 - Primary employment is in the U.S.
 - Owner of established foreign company actively engaged in business for the past 12 months and transacting business in the U.S.
 - Have a U.S. brokerage account for the past six months with a minimum balance of \$100,000 (Net of 1st year planned premium)
 - a. Copies of statements will be made available. Yes No
 - b. Confirming U.S. residence, other U.S. property interests, U.S. brokerage accounts are personally owned by client and in client's name. Yes No
2. Confirming client has been physically present in the United States for 15 days in the preceding 12 months. Yes No
3. Seeking additional coverage for spouse of foreign national without their own nexus to the U.S. Yes No
4. Confirming client is between 18-80 years old and a citizen of a country on the LNC approved countries list. Yes No
 - a. Name Country: _____
5. Client possesses one of the following: (check all that apply)
 - Social Security Number Tax ID Number (TIN) Completed an IRS Form W-8
6. Confirming Policy Owner will be the following: (check one)
 - Insured Family member U.S. Business U.S. Trust U.S. LLC
 - a. If U.S. Business, U.S. Trust or U.S. LLC, confirming a foreign entity does not have a direct or indirect beneficial interest. Yes No
 - b. If U.S. Business, proof of business interest will be provided Yes No
 - c. If U.S. Trust, the identities of the beneficial owners will be provided Yes No
 - d. If U.S. LLC, confirming the following:
 - i. LLC was not set up for policy owner U.S. Nexus purposes only. Yes No
 - ii. Inforce policy (or proposed coverage) is not the only asset of the LLC. Yes No
 - iii. Operating agreement and listing of assets will be made available. Yes No
 - iv. If manager/member is non U.S. entity/resident, additional Nexus Verification form will be provided with questions 1, 2, 4, 5 and 9 completed. Yes No
 - e. If family member, confirming U.S. Citizen. Yes No
 - i. If family member is non U.S. Citizen, confirming additional Nexus Verification form will be provided with questions 1, 2, 4, 5 and 9 completed. Yes No
7. Confirming policy owner is not a Foreign Trust, Off Shore Corp., Off Shore Personal Investment Corp. or other non-U.S. Entity. Yes No
8. Confirming client does not have the following occupations: Yes No
 - Elected Officials • Public Figures • Members of the diplomatic corps
 - Journalists • Missionaries • Judicial and law enforcement personnel
 - Trade Union officials • Foreign Military Personnel • Other high-profile occupations
9. Confirming the state where U.S. solicitation will take place:
 - a. Name State: _____
 - b. Confirming insured/or owner has Nexus to State of solicitation. Yes No
10. Confirming case summary is to be provided which outlines the insurance coverage need and any other pertinent case details. Yes No
11. Comments: